

WHAT DOES TRANSITION FAMILY WEALTH LLC DO WITH YOUR PERSONAL INFORMATION?

WHY?

FINANCIAL COMPANIES CHOOSE HOW THEY SHARE YOUR PERSONAL INFORMATION. FEDERAL LAW GIVES CONSUMERS (OUR CLIENTS AND PROSPECTIVE CLIENTS) THE RIGHT TO LIMIT SOME BUT NOT ALL SHARING. FEDERAL LAW REQUIRES US TO TELL YOU HOW WE COLLECT, SHARE, AND PROTECT YOUR PERSONAL INFORMATION. PLEASE READ THIS NOTICE CAREFULLY TO UNDERSTAND WHAT WE DO.

WHAT?

THE FIRM COLLECTS PERSONAL INFORMATION ABOUT CONSUMERS FROM THE FOLLOWING SOURCES:

INFORMATION PROVIDED TO US TO COMPLETE YOUR FINANCIAL PLAN OR INVESTMENT RECOMMENDATION

INFORMATION PROVIDED THROUGH AGREEMENTS AND OTHER DOCUMENTS IN CONNECTION WITH YOUR ENGAGEMENT WITH OUR FIRM OR YOUR INVESTMENT ACCOUNT

INFORMATION PROVIDED VERBALLY, AND

INFORMATION RECEIVED FROM SERVICE PROVIDERS, SUCH AS YOUR CUSTODIAN, ABOUT YOUR INVESTMENT ACCOUNT TRANSACTIONS.

HOW?

FINANCIAL COMPANIES OFTEN NEED TO SHARE CONSUMERS' PERSONAL INFORMATION TO RUN THEIR EVERYDAY BUSINESS. IN THE SECTION BELOW, WE LIST THE REASONS FINANCIAL COMPANIES CAN SHARE THEIR CONSUMERS' PERSONAL INFORMATION, THE REASONS TRANSITION FAMILY WEALTH LLC CHOOSES TO SHARE, AND WHETHER YOU CAN LIMIT THIS SHARING.

REASONS WE CAN SHARE YOUR PERSONAL INFORMATION		
FOR OUR EVERYDAY BUSINESS PURPOSES --SUCH AS THOSE TO DEVELOP YOUR PLAN, OR RESPOND TO COURT ORDERS OR PERIODIC FIRM EXAMINATIONS.	YES	NO
FOR OUR "INTERNAL MARKETING" PURPOSES -- TO OFFER OUR SERVICES TO YOU	YES	NO
FOR JOINT MARKETING WITH OTHER FINANCIAL COMPANIES	NO	YES
FOR OUR AFFILIATES EVERYDAY BUSINESS PURPOSES -- INFORMATION ABOUT YOUR TRANSACTIONS AND EXPERIENCES.	WE DO NOT HAVE AN AFFILIATE	YES
FOR OUR AFFILIATES TO MARKET TO YOU	WE DO NOT HAVE AN AFFILIATE	YES
FOR NON-AFFILIATES TO MARKET TO YOU	NO	WE DO NOT SHARE

WHAT WE DO	
HOW DOES TRANSITION FAMILY WEALTH LLC PROTECT MY PERSONAL INFORMATION?	TO PROTECT YOUR PERSONAL INFORMATION FROM UNAUTHORIZED ACCESS AND USE, WE USE SECURITY MEASURES THAT COMPLY WITH STATE OR FEDERAL LAW, AS APPROPRIATE. THESE MEASURES INCLUDE COMPUTER SAFEGUARDS AND SECURED FILES AND FACILITIES. WE ALSO MAINTAIN PROCEDURAL SAFEGUARDS TO PROTECT YOUR PERSONAL INFORMATION.
HOW DOES TRANSITION FAMILY WEALTH LLC COLLECT MY PERSONAL INFORMATION?	<p>✔ WE COLLECT YOUR PERSONAL INFORMATION WHEN YOU ENGAGE OUR ADVISORY FIRM</p> <p>✔ WHEN YOU PAY OUR FIRM</p> <p>WE DO NOT COLLECT YOUR PERSONAL INFORMATION FROM OTHERS SUCH AS CREDIT BUREAUS, AFFILIATES, OR OTHER COMPANIES</p>
WHY CAN'T I LIMIT ALL SHARING?	<p>FEDERAL LAW GIVES YOU THE RIGHT TO LIMIT ONLY</p> <p>✔ SHARING FOR AFFILIATES' EVERYDAY BUSINESS PURPOSES</p> <p>✔ AFFILIATES FROM USING YOUR INFORMATION TO MARKET TO YOU, AND</p> <p>✔ SHARING FOR NON-AFFILIATES TO MARKET TO YOU.</p> <p>STATE LAWS AND INDIVIDUAL COMPANIES (I.E., YOUR INSURANCE COMPANY, ETC.) MAY GIVE YOU ADDITIONAL RIGHTS TO LIMIT SHARING.</p>

DEFINITIONS	
AFFILIATES	COMPANIES RELATED BY COMMON OWNERSHIP OR CONTROL. THEY CAN BE FINANCIAL AND NON-FINANCIAL COMPANIES. ✔ WE DO NOT HAVE AN AFFILIATE.
NON-AFFILIATES	COMPANIES NOT RELATED BY COMMON OWNERSHIP OR CONTROL. THEY CAN BE FINANCIAL AND NON-FINANCIAL COMPANIES. ✔ WE DO NOT SHARE WITH NON-AFFILIATES.
JOINT MARKETING	<p>A FORMAL AGREEMENT BETWEEN NONAFFILIATED FINANCIAL COMPANIES THAT TOGETHER MARKET FINANCIAL PRODUCTS OR SERVICES TO YOU.</p> <p>✔ WE DO NOT ENGAGE IN JOINT MARKETING ARRANGEMENTS</p>

WE WILL PROVIDE YOU WITH OUR PRIVACY POLICY ON AN ANNUAL BASIS AND AT ANY TIME, IN ADVANCE IF OUR FIRM PRIVACY POLICIES ARE EXPECTED TO CHANGE.

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